

2011 SOLICITATION

**SUSTAINABLE COMMUNITIES
PLANNING GRANT AND INCENTIVE PROGRAM**

FUNDED BY

**THE SAFE DRINKING WATER, WATER QUALITY AND SUPPLY, FLOOD CONTROL,
RIVER AND COASTAL PROTECTION BOND ACT OF 2006**

PROPOSITION 84, CHAPTER 9

STATE OF CALIFORNIA

STRATEGIC GROWTH COUNCIL

**REQUEST FOR PROPOSALS
with GUIDELINES
November 2011**

Applications Due February 15, 2012, by 5p.m.

Please direct questions to:

Sustainable Communities Planning Grant and Incentive Program

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I. Introduction – Round Two

Grants - The Strategic Growth Council requests submittal of Proposals for the Sustainable Communities Planning Grant and Incentive Program. Cities, counties, Metropolitan Planning Organizations (MPOs), Joint Powers Authorities (JPAs), Regional Transportation Planning Agencies (RTPAs), Councils of Governments (COGs), or combination thereof, are eligible to apply. It is anticipated that proposals funded under this solicitation will be not less than \$100,000 nor greater than \$1,000,000 each. The Council may consider higher grant awards for a joint proposal: an application submitted by one lead applicant with one or more eligible partner entities, coordinated by planning activities with defined shared outcomes. Partial funding may be considered to fully maximize grant awards.

Funding - The Sustainable Communities Planning Grant and Incentive Program is funded by Proposition 84, the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006. It added Division 43 to the Public Resources Code, Chapter 9, Sustainable Communities and Climate Change Reduction Section 75065(a), authorizing the Legislature to appropriate \$90 million for planning grants and planning incentives that reduce energy consumption, conserve water, improve air and water quality, and provide other community benefits (*see Appendix H*).

Statutes - SB 732 Steinberg, (Chapter 13, Statutes of 2008) established the Strategic Growth Council (Council). The Council is composed of agency secretaries from the Business Transportation and Housing Agency, California Health and Human Services Agency, California Environmental Protection Agency, the California Natural Resources Agency, the Director of the Governor's Office of Planning and Research, and a public member, appointed by the Governor (*see Appendix I*). Under Section 75127 and 75128 of SB 732, Council shall do all of the following: (a) identify and review activities and funding programs of member state agencies that may be coordinated to improve air and water quality, improve natural resource protection, meet the goals of the California Global Warming Solution Act of 2006, encourage sustainable land use planning, and revitalize urban and community centers in a sustainable manner; (b) recommend policies and investment strategies and priorities to the Governor, the Legislature, and to appropriate state agencies to encourage the development of sustainable communities, such as those communities that promote equity, strengthen the economy, protect the environment and promote public health and safety, and is consistent with subdivision (a) and (c) of Section 75065; (c) provide, fund, and distribute data and information to local governments and regional agencies that will assist in developing and planning sustainable communities; and (d) manage and award grants and loans to support the planning and development of sustainable communities.

Online Application – Round two of the Planning Grant and Incentive Program will be using the FFAST online application tool (*see Appendix C*). FFAST has been developed by the State Water Resources Control Board to provide an efficient means for project proponents to apply for state managed loan and grant programs.

II. Purpose and Goal

As mandated by Proposition 84 of 2006, this grant program implements the vision of the People of California to foster the development of sustainable communities throughout California. It is designed to help local governments meet the challenges of adopting land use plans and integrating strategies in order to transform communities and create long term prosperity. Sustainable communities shall promote equity, strengthen the economy, protect the environment and promote healthy, safe communities.

The primary goal of this grant program is to develop and implement plans that reduce greenhouse gas emissions consistent with AB 32 and SB 375, and achieve the following Program Objectives:

- **Improve air and water quality**
- **Promote public health**
- **Promote equity**
- **Increase housing affordability**
- **Promote infill and compact development**
- **Revitalize urban and community centers**
- **Protect natural resources and agricultural lands**
- **Reduce automobile usage and fuel consumption**
- **Improve infrastructure systems**
- **Promote water conservation**
- **Promote energy efficiency and conservation**
- **Strengthen the economy**

These objectives are consistent with the State Planning Priorities, as defined by Government Code 65041.1 as well as Strategic Growth Council objectives.

III. Focusing Funds

Applications will generally be limited to a maximum of \$1 million and a minimum of \$100,000 per proposal. Partial funding may be considered to fully maximize grant awards. The Council may consider higher grant awards for a joint proposal (*see Glossary*) that is cooperative, scale-appropriate, and reflects the interdependence of environmental, economic, community health, and other requirements.

The Council shall fund proposals that fall within the following three focus areas:

- **Focus Area #1: Local Sustainable Planning**
- **Focus Area #2: Regional SB 375 Plus**
- **Focus Area #3: Regional Planning Activities with Multiple Partners**

The goal is to focus a minimum of 25% of funds in Focus Area #1, a minimum of 25% in Focus Area #2, and a minimum of 10% in Focus Area #3. No less than 20% of all Round 2 program funds will be dedicated to targeted planning efforts that address the direct challenges of economically disadvantaged communities. An applicant may submit two applications to Focus Area #1 provided that at least one of the applications proposes to address the needs of an economically disadvantaged community.

Focus Area # 1: Local Sustainable Planning

Eligible Applicants: Cities and Counties

Intent: Support the development and implementation of effective and/or innovative local plans that support the State's AB 32 GHG emission reduction targets and implement SB 375, while creating sustainable communities.

Examples of Eligible Proposals: (including, but not limited to)

- Specific Plans/Infill Plans/Zoning Ordinances
- Local Coastal Plan Updates
- Climate Action Plans (consistent with State CEQA Guidelines section 15183.5(b))
- Targeted General Plan Updates or Elements
- Community Basic Infrastructure (water and wastewater) Plans
- Other implementation instruments and plans needed for successfully meeting AB 32 greenhouse gas emissions reduction and implement SB 375, while improving community-wide sustainability

Collaboration Requirement: Provide a detailed explanation regarding how the Proposal is consistent with its region's goals, including implementing SB 375. A letter from the regional entity concurring with the explanation may be one way to satisfy this collaboration requirement.

Economically Disadvantaged Communities: At least twenty percent (20%) of each round of funding shall be awarded to Focus Area #1 projects that target economically disadvantaged communities (EDC). See the Glossary for EDC definition. Projects seeking EDC status must show that the planning to be funded would be targeted primarily or substantially within the geographic boundaries of an EDC. An EDC may be a community or neighborhood within a city or county.

Focus Area # 2: Regional SB 375 Plus

Eligible Applicants: MPOs

Intent: Fund MPOs to collaborate with local governments to implement SB 375 and/or AB 32 at the city or county level, within the context of the three E's of sustainable communities: healthy environment, economy, and equitable access to regional resources and amenities. The outcome of these efforts should support successful Sustainable Community Strategies that help meet the regional targets established by the Air Resources Board (*see Appendix O for links to additional information*).

Examples of Eligible Proposals: (including, but not limited to)

- Blueprint Plans
- Multi-Jurisdictional Corridor Plans
- Transit Oriented Development (TOD) Plans
- Targeted General Plan Updates
- Specific Plans or other planning processes
- Sustainable Community Strategies (SCS)
- Alternative Planning Strategies (APS)
- Natural Communities Conservation Plans (NCCP)
- Regional Greenprint Plans
- Local Coastal Plan Updates

Collaboration Requirement: Submit a joint work plan between local governments and MPOs and letters of intent to participate in proposed activities from local government partners.

Focus Area # 3: Regional Planning Activities with Multiple Partners

Eligible Applicants: Cities, Counties, RTPAs, JPAs and COGs.

Intent: Support collaboration between cities and/or counties, JPAs, COGs or RTPAs to work with local governments to develop or implement regional plans that meet the intent of SB 732.

Proposal Examples: (including, but not limited to)

- County-Wide Climate Action Plans
- Local Coastal Plan Updates
- Regional Cap and Trade Plans or offset programs
- Rural Blueprint Plans or plans that voluntarily implements SB 375.
- Interregional Plans

Collaboration Requirement: Submit a joint work plan by partners and letters of intent to participate in proposed activities from all partners.

IV. Threshold Requirements

Proposals must meet the threshold requirements below. Proposals that fail to meet the following criteria will be considered ineligible for funding. This shall be at the sole discretion of the State.

1. Be consistent with (*see Glossary*) the State's Planning Priorities, in summary below, and identified in Section 65041.1 of the Government Code (*Appendix N*):
 - Promote infill development and invest in existing communities
 - Protect, preserve and enhance environmental and agricultural lands and natural and recreational resources
 - Encourage location- and resource-efficient new development
2. Reduce, on as permanent a basis that is feasible, greenhouse gas emissions consistent with:
 - California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code) (*see Appendix J*)
 - Any applicable regional plan (*see Glossary*)
3. Meet the Collaboration Requirements of the focus area applicable to the Proposal (*See Section III*). Include all required documents in the application package.
4. Plan for Sea Level Rise, where appropriate, consistent with Ocean Protection Council Resolution on Sea Level Rise and related guidance (*see Appendix K*).

V. Program Objectives

Proposals must address the objectives listed below. Proposal should describe those objectives to be addressed with grant funds, as well as those being addressed by other means, and identify anticipated indicators that will be incorporated into the Proposal to measure the success of the objective. The final report must quantify the projected outcomes (*see Glossary*), to the extent feasible.

- **Improve air and water quality**
- **Promote public health**
- **Promote equity**
- **Increase housing affordability**
- **Promote infill and compact development**
- **Revitalize urban and community centers**
- **Protect natural resources and agricultural lands**
- **Reduce automobile usage and fuel consumption**
- **Improve infrastructure systems**
- **Promote water conservation**
- **Promote energy efficiency and conservation**
- **Strengthen the economy**

VI. Priority Considerations

Consistent with the goal of the program, the following areas have been identified as priorities in awarding grants. In evaluating proposals, the State may also consider factors including, but not limited to, geographic distribution of bond funds, environmental justice, and partial funding.

- Proposal demonstrates ongoing collaboration with state, regional and local, public and private stakeholders and community involvement;
- Proposal addresses climate change impacts;
- Proposal demonstrates strategies or outcomes that can serve as best practices for communities across the state;
- Proposal is leveraged with additional resources (in-kind or funds); and
- Proposal promotes equity and serves an economically disadvantaged community (*see Glossary*).

VII. Evaluation/ Scoring Criteria

All information submitted in the application package provides the grant review committee a basis for evaluation and should demonstrate how effectively the Proposal meets Threshold Requirements, the Program Goal and Objectives and Priority Considerations. Proposals will first be reviewed for completeness and eligibility. If judged complete and eligible, the proposals will be scored and ranked by a scoring committee whose members represent the breadth of the Strategic Growth Council. Eligible proposals receiving between sixty-five (65) and one hundred (100) points shall be considered for funding. Proposals will be scored on the following areas.

SCORING	
Program Objectives	0 - 60
<ul style="list-style-type: none">➤ Scope and number of objectives addressed in the Proposal;<ul style="list-style-type: none">➤ Improve air and water quality➤ Promote public health➤ Promote equity➤ Increase housing affordability➤ Promote infill and compact development➤ Revitalize urban and community centers➤ Protect natural resources and agricultural lands➤ Reduce automobile usage and fuel consumption➤ Improve infrastructure systems➤ Promote water conservation➤ Promote energy efficiency and conservation➤ Strengthen the economy➤ Indicators identified to measure the success of the objectives➤ Responses provided and supporting documents➤ Proposal meets the intent of the applicable focus area	
Priority Considerations	0-28
➤ Proposal demonstrates ongoing collaboration with state, regional and local, public and private stakeholders and community involvement	5
➤ Proposal addresses climate change impacts	5
➤ Proposal demonstrates strategies or outcomes that can serve as best practices	5
➤ Proposal is leveraged with additional resources	5
➤ Proposal serves an economically disadvantaged community	5
➤ Severely economically disadvantaged community (add 3 for 8 total EDC)	3
Organizational Capacity	0-12
➤ Organization demonstrates the ability to complete the Proposal within the schedule and budget	
➤ Identify in the work plan how the Proposal will be implemented, including zoning updates if applicable	
Total Available Points	100

VIII. Proposal Summary Statement

Prepare a proposal summary statement for attachment to the online application. The Proposal Summary Statement should be **no longer than one full page, and saved as a PDF file**. The summary should describe:

- The need for the Proposal; and
- How the Proposal achieves the intent of the applicable focus area.

IX. Proposal Description (Evaluation Questions)

(Tips for using online application) The application uses an online application tool (FAAST) (*see Appendix C*). You will be prompted to type information into text boxes, select choices from preset lists, and submit attachments (upload). It may be helpful to prepare answers in advance, in an unformatted Word document, in order to cut and paste information into the online application. You can save work-in-progress at any point, and return to your application at another time. (Be sure to save your work often!)

- Use only **basic formatting**. Extra symbols or layout designs can interfere with completion of the online application.

The questions below are designed to solicit specific facts regarding how the Proposed Project addresses the Threshold Eligibility Requirements, Program Objectives and Priority Considerations. Use these questions to prepare your draft proposal prior to entry into the online application.

Step 1: Threshold Requirements

Clearly label and address all of the following points:

1. Describe how the Proposal is consistent with the State's Planning Priorities, Section 65041.1 of the Government Code.
 - a. Promote infill development and invest in existing communities;
 - b. Protect, preserve and enhance environmental and agricultural lands, and natural and recreational resources; and
 - c. Encourage location- and resource-efficient development
2. Describe (*and include in work plan*) how the Proposal will reduce, on as permanent a basis that is feasible, greenhouse gas emissions consistent with:
 - a. California's Global Warming Solutions Act of 2006
 - i. Identify how the Proposal will reduce greenhouse gas emissions.
 - ii. Identify the indicators that will be used to measure whether the Proposal will meet greenhouse gas emissions reduction targets or requirements.
 - b. Any applicable regional plan
 - i. Cite any applicable regional plan(s).
 - ii. Describe how your Proposal will be consistent with the greenhouse gas emission reduction strategies in the applicable regional plan(s).

3. Meet the Collaboration Requirements of the focus area applicable to the Proposal.
(See Section III, Focusing Funds, for the Collaboration Requirements applicable to the Proposal.)
4. Plan for Sea Level Rise, where appropriate, consistent with Ocean Protection Council Resolution on Sea Level Rise and related guidance.

Step 2: Program Objectives

Identify and describe the Program Objectives projected to be achieved by the Proposal. The online application provides further guidance on questions to be addressed in the Proposal Description. The Proposal must identify anticipated indicators (*see Glossary*) that will evaluate the overall success of achieving each Program Objective that is projected to be achieved. The Program Objectives are as follows:

- Improve air and water quality;
- Promote public health;
- Promote equity;
- Increase housing affordability;
- Promote infill and compact development;
- Revitalize urban and community centers;
- Protect natural resources and agricultural lands;
- Reduce automobile usage and fuel consumption;
- Improve infrastructure systems;
- Promote water conservation;
- Promote energy efficiency and conservation; and
- Strengthen the economy.

Step 3: Priority Considerations

Address the following points, if applicable to the Proposal:

1. Proposal demonstrates ongoing collaboration with state, regional and local, public and private stakeholders and community involvement (*include in work plan*).
 - a. Describe tasks undertaken by all entities involved in the work plan.
 - b. Describe how other entities will be engaged in the development and/or implementation of the Proposal (e.g., local governments, state entities, designated Catalyst Projects, COGs, MPOs, transit agencies, health agencies, air districts, local businesses, landowners, general public, environmental groups, low income households and/or groups that represent them, etc).
 - c. Describe how the community will be engaged in the planning process.
2. Proposal addresses climate change impacts.
 - a. Identify the potential climate change impacts on the population, or human or natural areas, or systems most vulnerable to those impacts within the planning area.
 - b. How does the Proposal improve adaptation to the impacts for these populations, human or natural areas, or systems?

3. Proposal demonstrates strategies or outcomes that can serve as best practices for communities across the state. *Note: proposals funded by the grant will be posted on the Strategic Growth Council website.*
 - a. Does the Proposal include tools or processes that could easily be accessed and used by other government agencies to develop plans or strategies for sustainable communities?
 - b. How will your agency promote and share the Proposal's information, tools or processes?
4. Proposal is leveraged with additional resources, in-kind or funds. *Identify in Budget (Appendix D).*
 - a. Identify funding sources and amount already committed to the Proposal and expected timing of funds. Detail whether funds are in the form of cash contributions, in-kind services, volunteer effort, donated labor or materials, technical expertise, etc.
 - b. Identify potential future funding sources and the amount expected to be committed to the Proposal. Detail whether funds are in the form of cash contributions, in-kind services, volunteer effort, donated labor or materials, technical expertise, etc.
5. Proposal serves an economically disadvantaged community.
 - a. Describe the unique challenges faced by the economically disadvantaged community, or severely economically disadvantaged community (*see Glossary*), that this proposal seeks to serve.
 - b. How will this Proposal specifically work to address these challenges and improve the quality of life for residents in the targeted community (*see Glossary*)?
 - c. Discuss how the economically disadvantaged community has been and will continue to be engaged and participatory in the development of the Proposal.

Step 4: Organizational Capacity

Address the following points:

1. What is your organization's experience in completing this type of proposal or similar proposals? Is the expertise needed for the successful development of the Proposal available within the organization? If not, how do you plan to acquire it?
2. Do you have active partners that will help develop the Proposal? Who? How will they help?
3. How will the Proposal be kept on schedule and within budget?
4. If the Proposal goes over budget, explain your contingency plan to cover the cost.
5. Identify how the Proposal will be implemented, including zoning updates if applicable. This will appear in your workplan, as well as a more detailed response in the online application questionnaire.

X. Eligible Proposals

Eligible proposals include, but are not limited to, the development, update, adoption and/or implementation of a:

- Targeted General Plan Updates or Elements that incorporate the program goal and objectives throughout the General Plan or in a General Plan element;
- Specific Plans/Infill Plans/Zoning Ordinances;
- Local Coastal Plan Updates
- Climate Action Plans (consistent with State CEQA Guidelines section 15183.5(b));
- Regional Plans;
- County-wide Climate Action Plans;
- Regional Cap and Trade Plans or offset programs;
- Community Infrastructure Plans;
- Rural Blueprints plans or plans that voluntarily implement SB 375;
- Interregional Plans;
- Sustainable Community Strategies (SCS);
- Alternative Planning Strategies (APS);
- Natural Communities Conservation Plans (NCCP); or
- Regional Greenprint Plans.

XI. Ineligible Proposals

Ineligible Proposals that will not be funded under this program include, but are not limited to, proposals that:

- Do not meet the threshold requirements
- Do not contain adequate documentation and applicable materials
- Do not include objective(s) and indicator(s)
- Do not meet a minimum of 65 points
- Are not received by the 2011/12 application deadline, 5PM, February 15, 2012
- Request funding for any part of the CEQA process
- Request funding for the same proposal under another focus area
- Request funding for activities already funded by any other grant program

XII. Planning Grant Administration

Grant Selection Process

1. Applicants submit a complete grant Proposal to the State by the deadline (one application submitted per entity, except for entities submitting an EDC focused application).
2. Proposals are reviewed for completeness and eligibility. (Incomplete or ineligible proposals may not be evaluated or considered for funding at the sole discretion of the State.)
3. Grant Committee (Committee) reviews proposals and makes recommendations for awards.
4. Strategic Growth Council approves the final proposals for awards.

General Overview of Grant Process after Grants are Awarded

1. State sends grant agreement/contract and materials to grantee.
2. Grantee signs and returns all required copies back to the State (a fully executed copy will be returned to the Grantee). Upon the date of signature of the grant agreement, signed by both parties, the grantee has 36 months to complete project.
3. Grantee commences preliminary work on the project and submits requests for reimbursements, as applicable.
4. Periodic progress reports, at least two annually, are submitted and reviewed.
5. Grantee completes project and submits project completion packet (to be provided under separate cover).
6. State receives project completion packet (including final report) and approves final payment.
7. Grant activities may be audited during the performance period and for three years after the grant project is completed.

* Awards are contingent upon legislative appropriation of funds and sale of bond.

Changes to Approved Proposal

A grantee wishing to make changes or amendments to an approved project must first obtain approval from the State. Changes in the Project must continue to meet the requirements of the grant as approved. The grantee jeopardizes funding if changes are made without State approval.

Eligible Costs

Direct related costs, including staff to implement the Project, incurred during the performance period specified in the grant agreement will be eligible for reimbursement. All eligible costs must be supported by appropriate documentation. Costs incurred outside of the performance period and indirect costs are not eligible (see *Glossary*).

Payment of Grant Funds

Funds cannot be disbursed until there is a fully executed grant agreement between the State and the Grantee. Work done prior to the date of a fully executed grant agreement will not be funded.

- Payments will be made on a reimbursement basis (i.e., the grantee pays for services or staff and is then reimbursed by the State).
- Fifteen percent (15%) of the amounts submitted for reimbursement will be withheld and issued as a final payment upon Project completion, at the sole discretion of the State.
- Advanced payments for Projects are not allowed.

Cancellation of Grants

The following are examples of actions that may result in a Grantee's loss of funding. This is not a complete list:

- Grantee fails to obtain a Grant Agreement.
- Grantee withdraws from the grant program.
- Grantee fails to complete the funded Proposal.
- Grantee fails to complete a Proposal that meets the requirements agreed upon.
- Grantee fails to submit all documentation within the time periods specified in the grant agreement.
- Grantee changes Proposal scope without approval of the State.
- Grantee changes the sub-recipient or entity(s) identified in the work plan or application without approval from the State.

State Audit and Accounting Requirements

Audit Requirements

Funded proposals are subject to audit by the State of California. If the project is selected for audit, advance notice will be given. The audit shall include all books, papers, accounts, documents, or other records of the grantee, as they relate to the project for which the funds were granted.

The grantee must have the project records, including the source documents and evidence of payment, readily available, and provide an employee with knowledge of the project to assist the auditor. The grantee must provide a copy of any document, paper, record, or the like, requested by the auditor.

Accounting Requirements

The grantee must maintain an accounting system that:

- Accurately reflects fiscal transactions, with the necessary controls and safeguards.
- Provides a good audit trail, including original source documents such as purchase orders, receipts, progress payments, invoices, time cards, canceled checks, etc.
- Provides accounting data so the total cost of each individual project can be readily determined.

Records Retention

Project records must be retained for a period of three (3) years after final payment is made by the State. All project records must be retained by the grantee at least one (1) year following an audit. Grantees are required to keep source documents for all expenditures related to each grant for at least three (3) years following project completion and one year following an audit. A project is considered complete upon receipt of final grant payment from the State.

APPENDIX A – GLOSSARY

Unless otherwise stated, the terms used in these grant guidelines have the following meanings:

AB 32 (Chapter 488, 2006): the California Global Warming Solutions Act of 2006 establishes a comprehensive program of regulatory and market mechanisms to achieve real, quantifiable, cost-effective reductions of greenhouse gases. It codifies in the Health and Safety Code declarations about the serious threats posed by global warming and the intent of the Legislature to ensure coordination among state agencies and all affected stakeholders in the development of regulations to implement this law. (*See Appendix J*)

Applicant: an eligible organization requesting funding from this program to be administered by the State. Eligible applicants include cities, counties, Metropolitan Planning Organizations (MPOs), Joint Powers Authorities (JPAs), Regional Transportation Planning Agencies (RTPAs), Council of Governments (COGs), or a combination.

Bond or Bond Act: Proposition 84, the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006. (*See Appendix H*)

Catalyst Project: a Gold, Silver or Bronze level project designated under the Department of Housing and Community Development's California Catalyst Projects for Sustainable Communities Pilot Program.

Census Designated Place (CDP): a census geography used by the U. S. Census Bureau that is a statistical entity, defined for each decennial census according to Census Bureau guidelines, comprising a densely settled concentration of population that is not within an incorporated place, but is locally identified by a name. CDPs are delineated cooperatively by state and local officials and the Census Bureau, following Census Bureau guidelines.

Consistent With: compatible with, not contradictory to, or in agreement with. In this program, a Proposal must be "consistent with" state planning priorities as stated in Government Code 65041.1 (*see Appendix L*), regional plans (*see Glossary: "Regional Plan"*) and identified State adopted plans (*see Appendix N*).

Council: Strategic Growth Council established pursuant to SB 732 (Steinberg, Chapter 13, Statutes of 2008).

Direct Costs: Costs incurred during the performance period specified in the grant agreement, including salary and benefits for staff, to implement the Proposal. Direct costs are eligible for reimbursement (*See Glossary: Indirect Costs*).

Economically Disadvantaged Community: a community with a median household income less than 80 percent of the statewide average. “Severely disadvantaged community” means a community with a median household income (MHI) less than 60 percent of the statewide average. Applicants should use an appropriate, authoritative source for measuring its MHI, such as the CA State Parks’ Community Fact Finder (<http://www.parkinfo.org/factfinder2011/grantee.html>). A printed copy from one of these sources, including the determined MHI, is sufficient documentation to demonstrate Economically Disadvantaged Community status (*see item 7 of the Application Checklist, Appendix B*).

Environmental Justice: the fair treatment of people of all races, physical and cognitive abilities, cultures and income with respect to the development, adoption, implementation, and enforcement of environmental laws and policies.

Equity: A region which demonstrates equity is a competitive and inclusive region in which members of all racial, ethnic, and income groups have opportunities to live and work in all parts of the region, have access to living wage jobs and are included in the mainstream of regional life. It is also one in which all neighborhoods are supported to be vibrant places with choices for affordable housing, good schools, access to open space, decent transit that connects people to jobs, and healthy and sustainable environments.

Fund or Funds: monies authorized from the Proposition 84 Bond Act of 2006

Grant Administrator: an employee of the State who manages the grants.

Grant Agreement: a contractual arrangement between the State and grantee specifying the payment of funds by the State for the development of the Proposal by the grantee.

Grant Performance Period: the beginning and ending dates of the Grant Agreement. Eligible costs incurred during this period may be funded from the grant. No Proposal should exceed 36 months.

Grantee: an applicant that has a signed agreement for grant funding with the State.

Greenhouse Gases: include, but are not limited to, carbon dioxide, methane, nitrous oxide, hydro fluorocarbons, perfluorocarbons, and sulfur hexafluoride.

Healthy Community: (*See Appendix M for a detailed description*)

Implementation of a General Plan: an action, procedure, program, or technique that carries out general plan policy and relies primarily upon regulations, such as specific plans, the zoning ordinance, subdivision ordinances, and public project consistency requirements. Each general plan policy must have at least one corresponding implementation measure. Examples of implementation measures: (1) the city shall use tax-increment financing to pay the costs of replacing old sidewalks in the

redevelopment area. (2) The city shall adopt a specific plan for the industrial park. (3) Areas designated by the land use element for agriculture shall be placed in the agricultural zone.

In-Kind Contributions: non-cash donations; including volunteers, materials and services; from public or private sources.

Indirect/Overhead Costs: expenses of doing business that are of a general nature and are incurred to benefit two or more functions within an organization. These costs are not usually identified specifically with a grant, grant agreement, plan or activity, but are necessary for the general operation of the organization. Examples of indirect costs include salaries and benefits of employees not directly assigned to a Proposal; functions such as personnel, business services, information technology, and salaries of supervisors and managers; and overhead such as rent, utilities, supplies, etc.

Indicator: specific data points which will be used to quantify outcomes. *(See Appendix F for examples of indicators.)*

Infrastructure: may include but is not limited to: transportation modes including walking and biking; housing supply or affordability; energy generation or transmission; water supply or conveyance; water treatment; trees or other vegetation; parks, open space and other public spaces; solid waste systems; liquid waste systems; or communications systems such as broadband access.

Joint Proposal: an application submitted by one lead applicant with one or more eligible partner entities located adjacent to, or within, the lead applicant's jurisdiction. A single budget must be submitted by the lead applicant. Budget must describe the funds that will be distributed to partners and identify general activities for which they are used.

Local City Health Officers: Each county and some cities have appointed health officers. These officials have broad authority to take measures as may be necessary to preserve and protect the public health including, if indicated, the adoption of ordinances, regulations and orders not in conflict with general laws. (Health and Safety Code § 101000-101030). Local health officers and health departments are participating increasingly in land use planning to ensure that all neighborhoods in California promote the opportunity to live a safe, healthy life. *(See Appendix O to access more information.)*

Milestone: a scheduled event signifying the completion of a major deliverable or a set of related activities.

Natural Resources: the materials and functions that comprise the natural wealth of an area's ecosystems, including, but not limited to the plants, animals, minerals, air, water, and soil. Among these functions are watershed catchment, wildlife migration and habitation, forestry, grazing, and crop production. Of particular importance for complex, large scale natural resources functions are lands

that flood, lands that are farmed, lands dedicated to open space, lands designated for mineral extraction, greenbelts, parks and trails, and lands valued for their aesthetics.

Objective: a high level focus or approach to achieve Sustainable Communities requirements and priorities. This allows flexibility for an entity to focus on issues that are important to its community and have the most beneficial results. For example, to address the requirement to conserve water, one entity may want to focus on reduction of commercial water usage because a larger percentage of its water is used by that sector. Another entity may want to focus on reduction of outdoor residential water usage because it would result in significant water savings.

Other Sources of Funds: cash or in-kind contributions that are required or used to complete the Proposal beyond the grant funds provided by this program.

Outcome: using indicators (data points) to quantify the results from the deliverable. This should be projected as a percentage, ratio or number that reflects improvement over your base line. For example, 20% decrease in water usage. Actual numbers will be required when deliverable is completed and in the final report. *(See Appendix F for examples.)*

Planning Instrument: any plan or ordinance adopted by a Council of Government (COG), Metropolitan Planning Organization (MPO), Regional Transportation Planning Agency (RTPA), Joint Powers Authority (JPA), City, or County that implements or incorporates the goals and objectives of the Sustainable Communities Planning Grant and Incentive Program. Planning instruments must be consistent with a regional plan, when applicable, and support the creation of sustainable communities. These planning instruments include, but are not limited to:

- **Specific Plans**
- **General Plans**
- **Local Coastal Plans**
- **Climate Action Plans**
- **Zoning Codes**
- **Community Infrastructure Plans**
- **Redevelopment Plans**
- **Master Plans (i.e. Bicycle Master Plans, Port Master Plans, Sustainability Master Plans)**

Preparation and Adoption of a General Plan: the process for developing a comprehensive, long-term general plan for the physical development of the county or city, which is consistent with the intent of Proposition 84, SB 732, Section 75065 of the Public Resources Code and the requirements of this RFP, that is formally approved by the legislative body of a county or city; as well as preparation and adoption of an individual element or any other revision of a General Plan meeting the intent of Section 75065 of Proposition 84 , SB 732 and the requirements of this RFP.

Proposition 84: - *(See Glossary: Bond)*

Regional Greenprint Plan: a plan that identifies strategically planned and managed networks of natural lands, working landscapes (such as farmland, ranchland and working forests), recreational and other open spaces that conserve ecosystem values and functions, provide associated benefits to human populations and is integrated into community and regional growth strategies.

Regional Plan: either of the following: 1) A long-range transportation plan developed pursuant to Section 134(g) of Title 23 of the United States Code and any applicable state requirements, OR 2) A regional blueprint plan, which is a regional plan that implements statutory requirements intended to foster comprehensive planning as defined in Section 65041.1 of, Chapter 2.5 (commencing with Section 65080) of Division 1 of title 7 of, and Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of the Government Code.

Revitalize Urban Areas and Community Centers: for the purpose of this grant program, the rejuvenation and promotion of reinvestment in a district of a city, county or community, usually typified by a concentration of uses including residential, retail and commercial buildings, and public spaces.

Severely Economically Disadvantaged Community: (*see Glossary: Economically Disadvantaged Community*)

Specific Plan: a tool for implementing the general plan but is not part a General Plan. A specific plan can combine policy statements with development regulations (§65450, et seq.). It is often used to address the development requirements for a single project such as urban infill or a planned community. Its emphasis is on specific standards and development criteria. A specific plan may be adopted either by resolution or by ordinance. Specific plans must be consistent with all facets of the General Plan.

State: the Strategic Growth Council or its representative.

Strategy: approach(es) to achieve an objective. Strategies should indicate the areas you will focus on to achieve the objective(s). For example, to improve water conservation the strategy (approach) might be to focus on agriculture water usage. Another example might be to increase water recycling in all sectors.

Sub-recipient: an entity that will participate in the Proposal submitted by the applicant. Sub-recipients must be included in the work plan and budget form. The lead applicant submits invoices on behalf of the sub-recipient. The State pays the lead applicant, who then pays the sub-recipient.

Targeted General Plan Update: a general plan update that incorporates elements that achieve the program goal and focuses on specific program objectives.

APPENDIX B – APPLICATION CHECKLIST

(What to prepare/What is required)

The online application tool will require uploading certain attachments in the PDF format. (Contact the State at SGCSustainablecommunities@conservation.ca.gov, or phone (916) 322-3439, if you are unable to save files in the PDF format.) Do not submit additional materials that have not been specifically requested.

Note: Incomplete applications may not be evaluated or considered for funding at the sole discretion of the State.

The online application tool will request all of the following information, either as uploaded PDF files, or as text entered into the online questionnaire.

- ☐ **1. Completed Application Questionnaire Form** (Online FAAST application)
- ☐ **2. Proposal Summary Statement** (Upload PDF Described in Section XIII)
- ☐ **3. Proposal Description** (Described in Section IX, entered into Online FAAST application)
- ☐ **4. Supporting Documentation:**
 - a. **Budget** (Template at http://sgc.ca.gov/planning_application_forms.html)
 - b. **Work Plan** (Template at http://sgc.ca.gov/planning_application_forms.html)
 - c. **Indicators** (Sample at http://sgc.ca.gov/planning_application_forms.html)
- ☐ **5. Signed Resolution from Governing Body (PDF)** (Required Language at http://sgc.ca.gov/planning_application_forms.html)
- ☐ **6. Collaboration Requirement Letter(s) (PDF)** (Described in Section III)
- ☐ **7. Economically Disadvantaged Community Documentation, if applicable (PDF)** (Described in Glossary; link at : <http://www.parkinfo.org/factfinder2011/grantee.html>)

APPENDIX C – ONLINE APPLICATION TOOL: FFAST

Round two of the Planning Grant and Incentive Program will be using the FFAST online application tool. FFAST has been developed by the State Water Resources Control Board to provide an efficient means for project proponents to apply for state managed loan and grant programs.

Applicants can sign up for funding notifications, submit financial assistance applications, and monitor the status of their applications.

The FFAST system is accessed at: <https://faast.waterboards.ca.gov/>

A valid user account and password are needed to use FFAST. To create an account, please use the Sign Up button on the right.

Using the FFAST online application tool, you will be prompted to type information into text boxes, select choices from preset lists, and submit attachments (upload). It may be helpful to prepare answers in advance, in an unformatted Word document, in order to cut and paste information into the online application. You can save work-in-progress at any point, and return to your application at another time.

Be sure to save your work often.

Use only **basic formatting**. Extra symbols or layout designs can interfere with completion of the online application.

For information about the State Water Resources Control Board FFAST online application tool, if you have any questions or for further assistance, please call State Water Board Staff at:

1-866-434-1083 Monday thru Friday 8:00AM - 5:00PM, or e mail: FFAST_Admin@waterboards.ca.gov

Some of the General Information Questions are optional. Contact the FFAST team if you need help.

.....
(The following are screen shots from the online application.)

Confirm the following information:

RFP/Survey Title: Sustainable Communities Planning Grants and Incentives Program (Proposition 84), SGC

RFP/Survey Description: The Sustainable Communities Planning Grant is funded by Proposition 84, the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006. It added Division 43 to the Public Resources Code, Chapter 9, Sustainable Communities and Climate Change Reduction Section 75065(a), authorizing the Legislature to appropriate \$90 million for planning grants and planning incentives that reduce energy consumption, conserve water, improve air and water quality, and provide other community benefits.

Applicant/Survey Taker Organization: State Water Resources Control Board

Submitting Organization: State Water Resources Control Board

[Back](#)

[Continue to Application/Survey](#)

- General Information
- Project Budget
- Funding Program
- Project Management
- Legislative Information
- Contacts
- Cooperating Entities
- Questionnaire
- Attachments
- Status

The "General Information" tab allows the user to enter a project title, project description, and location information for the project.

General Information

Applicant/Survey Taker: Sustainable Communities Planning Grants and Incentives Program (Proposition 84), SGC

Applicant Organization: State Water Resources Control Board

Submitting Organization: State Water Resources Control Board

Project Title:

Project Description:

(1000 characters maximum)

PROJECT LOCATION

Latitude: (32.0000 to 42.0000) Longitude: (-125.0000 to -114.0000) [Obtain Lat and Long](#)

Watershed: Enter primary watershed(s) for reporting purposes only.

County:

Responsible Regional Water Board: [Locate on Map](#)

- General Information
- Project Budget
- Funding Program
- Project Management
- Legislative Information
- Contacts
- Cooperating Entities
- Questionnaire
- Attachments
- Status

The "Project Budget" tab allows the user to enter budget information for the project.

Project Budget

Funds Requested(\$):

Local Cost Match(\$):

Total Budget(\$):

Applicant Federal Tax Id: 

General Information	Project Budget	Funding Program	Project Management	Legislative Information	Contacts
Cooperating Entities	Questionnaire	Attachments	Status		

The Funding Program tab allows the user to view and select by checking the funding program(s) in the RFP/Survey. Select a funding program or multiple funding programs by checking the "Apply" box. Checking the funding program(s) will trigger the display of questions specific to a funding program to appear on the Questionnaire tab.

Note: Typically, only one (1) funding program is checked. However, for select RFP/Surveys, one (1) or more funding programs can be checked, if the requirements for the specific funding program are met.

Funding Program	Description	Funding Amount Range	Apply?
Focus Area # 1: Local Sustainable Planning (Cities and Counties)	Support the development and implementation of effective and/or innovative local plans that support the State's AB 32 greenhouse gas (GHG) emission reduction targets and implement SB 375, while creating sustainable communities.	100,000 - 1,000,000	<input type="checkbox"/> Apply
Focus Area # 2: Regional SB 375 Plus (Metropolitan Planning Organization [MPO])	Fund MPOs to collaborate with local governments to implement SB 375 and/or AB 32 at the city or county level, within the context of the three E's of sustainable communities: healthy environment, economy, and equitable access to regional resources and amenities. The outcome of these efforts should support successful Sustainable Community Strategies that help meet the regional targets established by California Air Resources Board (CARB).	100,000 - 1,000,000	<input type="checkbox"/> Apply
Focus Area # 3: Regional Planning Activities with Multiple Partners	Support collaboration between cities and/or counties, Joint Powers Authorities (JPAs), Council of Governments (COGs) or Regional Transportation Planning Agencies (RTPAs) to work with local governments to develop or implement regional plans that meet the intent of SB 732.	100,000 - 1,000,000	<input type="checkbox"/> Apply

[Previous](#)
[Save as Work in Progress](#)
[Next](#)

[Preview/Submit](#)

General Information	Project Budget	Funding Program	Project Management	Legislative Information	Contacts
Cooperating Entities	Questionnaire	Attachments	Status		

The Project Management tab allows the user to enter or edit the project managements' roles. The applicant and person submitting information is pulled from other areas of the application/survey process.

Applicant Information	Person Submitting Information
Name: State Water Resources Control Board Address: 1001 I Street Sacramento, CA , 95814 To edit Applicant information, click on the "Update Organization Profile" on the Main Menu. If submitting on behalf of another Organization, any edits to the Applicant organization profile must be submitted via email (FAAST_admin@waterboards.ca.gov).	Name: Bridget Chase Phone: 916-445-0827 Fax: Email: bchase@waterboards.ca.gov To edit the information contained here, click on the "Update User Profile" on the Main Menu

Please identify the Project Director and the Project Manager for the project. The Project Director is the authorized representative for the Applicant Organization who can executive a funding agreement. The Project Manager is the day to day contact for the Applicant Organization.

Project Management Role	First Name	Last Name	Phone	Fax	Email	ConfirmEmail
Project Director						
Project Manager						

[Project Manager Same as Project Director](#)

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[Save as Work in Progress](#)
[Next](#)

[Preview/Submit](#)

The Legislative Information tab allows the user to select one (1) or more legislative district (for the actual location of the project). If there are additional districts, please use press CTRL + CLICK buttons to make multiple selections.

Legislative Information	Primary	Additional District(s)	
Senate District	<input type="text" value="Select a Value"/>	Multiple Selection (Ctrl + Click) 01 02 03 04 05 06	Find Senate District
Assembly District	<input type="text" value="Select a Value"/>	Multiple Selection (Ctrl + Click) 01 02 03 04 05 06	Find Assembly District
US Congressional District	<input type="text" value="Select a Value"/>	Multiple Selection (Ctrl + Click) District 01 (CA) District 02 (CA) District 03 (CA) District 04 (CA) District 05 (CA) District 06 (CA)	Find US Congressional District

[Previous](#)
[Save as Work in Progress](#)
[Next](#)

[Preview/Submit](#)

The Contacts tab allows the user to add or view/edit information previously entered. This tab is used to record the person/organization who was or will be contacted regarding this Project. To edit an existing contact, please select the name of the organization link. Changes made must be saved by clicking on the "Save Contact" button.

"Fill in contact information for Regional Water Board or other organization staff that was contacted regarding this application and click "SAVE CONTACT" button.

Contacts	
Organization Name:	<input type="text"/>
Contact First Name:	<input type="text"/>
Contact Last Name:	<input type="text"/>
Contact Phone:	<input type="text" value="(999-999-9999)"/>
Contact Email:	<input type="text" value="(xyz@yourOrganization.com)"/>
Save & Previous Save Contact Save & Next	

Organization Name	Name	Phone	Email	Delete?
No Agency Contacts are Available to display				

[Previous \(Without Saving\)](#)
[Preview/Submit](#)
[Next \(Without Saving\)](#)

- General Information
- Project Budget
- Funding Program
- Project Management
- Legislative Information
- Contacts
- Cooperating Entities
- Questionnaire
- Attachments
- Status

The Cooperating Entities tab allows the user to add, view, and edit cooperating entities associated with this Project. A cooperating entity can be any organization that has a role or makes a contribution to the Project. For example, a local government agency is the lead applicant and a local non-profit is the coapplicant, the local non-profit organization would be listed in this tab and its role as coapplicant would be noted.

Enter the information requested below and click "Save Cooperating Entity" button to save each Cooperating Entity.

Cooperating Entity

Cooperating Entity:

Role/Contribution to Project:

Contact First Name:

Contact Last Name:

Contact Phone:

Contact Email:

Save & Previous

Save Cooperating Entity

Save & Next

Entity Name	Role	Name	Phone	Email	Delete?
At this time, there are no cooperating entities to display.					

Previous (Without Saving)

Preview/Submit

Next (Without Saving)

- General Information
- Project Budget
- Funding Program
- Project Management
- Legislative Information
- Contacts
- Cooperating Entities
- Questionnaire
- Attachments
- Status

- The Attachments tab allows the user to upload attachments in the form of electronic files (i.e., project narratives, maps, spreadsheets).
- Uploading an attachment is a 4-step process. Read instructions on how to upload an attachment here: [Attachment Instructions \(Mouse over to Read\)](#)
- PLEASE NOTE: Click on **Requirement Attachments** to view of a list of attachments associated with the RFP/Survey.

New Attachment

Attachment Category:

Attachment Title:

File Name:

Attachment Title

No Attachments Available to

Select a Sample Title

Budget - (Sample in Appendix D)

Work Plan - (Sample in Appendix E)

Proposal Summary Statement - (Described in Section III)

Signed Resolution - From Governing Body (Required Language in Appendix G)

Collaboration Letter(s) - (Described in Section III)

Economically Disadvantaged - Documentation (Described in Glossary)

Indicators - (Sample in Appendix F)

Previous

Next

Preview/Submit

Questionnaire

Applicant Information

Have you entered the required Authorized Representative information in the Project Management tab?

Have you entered the required day to day contact information in the Project Management tab (can be the same person as the Authorized Representative)?

Enter Applicant Type:

Proposed Project Duration (months):

Does your project meet the following Threshold Requirements as defined in the [Guidelines](#) (mandatory):

- A) Consistent with State Planning Priorities
- B) Reduces GHG emissions on a permanent basis
- C) Collaboration requirement

What Priority Considerations are incorporated or applicable to the proposed project?

- A) Demonstrates collaboration & community involvement
- B) Addresses climate change impacts
- C) Serves as best practices
- D) Leverages additional resources
- E) Serves an economically disadvantaged community
- F) Serves a severely economically disadvantaged community

Check all of the following Program Objectives that are incorporated or applicable to the proposed project:

- A) Improve air and water quality
- B) Promote public health
- C) Promote equity
- D) Increase housing affordability
- E) Promote infill and compact development
- F) Revitalize urban and community centers
- G) Protect natural resources and agricultural lands
- H) Reduce automobile usage and fuel consumption
- I) Improve infrastructure systems
- J) Promote water conservation
- K) Promote energy efficiency and conservation
- L) Strengthen the economy

The following [attachments](#) are required and should be uploaded in the Attachments tab:

- 1) [Budget](#)
- 2) [Workplan](#)
- 3) [Indicators](#)
- 4) [Signed Resolution](#) from Governing Body
- 5) Proposal Summary Statement

The following attachments may be required depending on your focus area. Please refer to the [Guidelines](#) for more information:

- 6) Collaboration Requirement Letter(s)
- 7) Economically Disadvantaged Community Documentation

Step 1: Threshold Requirements Clearly label and address all of the following points:

- 1 Describe how the proposed project is consistent with the State's Planning Priorities, [Section 65041.1 of the Government Code](#).
 - a. Promote infill development and invest in existing communities (*maximum of 2,000 characters*);
 - b. Protect, preserve and enhance environmental and agricultural lands, and natural and recreational resources (*maximum of 2,000 characters*); and
 - c. Encourage location- and resource-efficient development (*maximum of 2,000 characters*).
- 2 Describe (*and include in [work plan](#)*) how the proposed project will reduce, on as permanent a basis as feasible, greenhouse gas emissions consistent with:
 - a. California's Global Warming Solutions Act of 2006 (*maximum of 2,000 characters*)
 - i. How will the proposed project reduce greenhouse gas emissions?
 - ii. Identify the indicators that will be used to measure whether the proposed project will meet greenhouse gas emissions reduction targets or requirements.
 - b. Any applicable regional plan (*maximum of 2,000 characters*)
 - i. Cite any applicable regional plan(s).
 - ii. Describe how the proposed project will be consistent with the greenhouse gas emission reduction strategies in the applicable regional plan(s).
- 3 Meet the Collaboration Requirements of the focus area applicable to the proposed project. (See Section III of the [Guidelines](#), Focusing Funds, for the Collaboration Requirements applicable to the Proposal.) (*maximum of 2,000 characters*)
- 4 Plan for Sea Level Rise, where appropriate, consistent with Ocean Protection Council Resolution on Sea Level Rise and related guidance. For more information, visit the [Ocean Protection Council's Website](#). (*maximum of 2,000 characters*)

Step 2: Program Objectives Identify and describe the Program Objectives projected to be achieved by the proposed project. The Proposal must identify anticipated indicators ([see Glossary](#)) that will evaluate the overall success of achieving each Program Objective that is projected to be achieved. The Program Objectives are as follows:

A. Improve air and water quality (*maximum of 2,000 characters*);

Air Quality

1. What strategies (*see Glossary*) will be used to meet the air quality objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the Proposal be consistent with the State Implementation Plan (*see Appendix O*), as specified by the local air district?

Water Quality

4. What strategies will be used to meet the water quality objective?
5. What indicator(s) will be used to measure the outcomes?
6. How will the Proposal be consistent with the Integrated Regional Water Management Plan (*see Appendix O*)?

B. Promote public health (*maximum of 2,000 characters*);

1. What indicators will be focused on to meet the public health objective?
2. Describe how the Proposal addresses and responds to the definition of a healthy community (*see Appendix N*).
3. Describe how, in the development and implementation of the Proposal, public health co-benefits and potential adverse health consequences will be identified, and for any identified negative consequences that may be associated with the Proposal, the approach to mitigating or preventing these consequences.
4. Describe the extent and nature of the coordination and collaboration with the local health officer/health department for the cities and counties included in your agency's jurisdiction or covered by the scope of the Proposal.

C. Promote equity (*maximum of 2,000 characters*);

1. What strategies will be used to meet the equity objective?
2. What indicator(s) will be used to measure the outcomes?
3. Explain how disadvantaged communities will be engaged in the planning process for the proposed outcomes.

D. Increase housing affordability (*maximum of 2,000 characters*);

1. What strategies will be used to meet the housing affordability objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the Proposal be consistent with housing affordability requirements under the RHNA (*see Appendix O*)?

- E. Promote infill and compact development (*maximum of 2,000 characters*);
 - 1. What strategies will be used to meet the infill and compact development objective?
 - 2. What indicator(s) will be used to measure the outcomes?
- F. Revitalize urban and community centers (*maximum of 2,000 characters*);
 - 1. What strategies will be used to meet the urban and community center objective?
 - 2. What indicator(s) will be used to measure the outcomes?
- G. Protect natural resources and agricultural lands (*maximum of 2,000 characters*);
 - 1. What strategies will be used to meet the natural resources and agricultural land objective?
 - 2. What indicator(s) will be used to measure the outcomes?
 - 3. How will the Proposal be consistent with the California Wildlife Action Plan, Natural Community Conservation Plan and the Surface Mining and Reclamation Act (*see Appendix O*)?
- H. Reduce automobile usage and fuel consumption (*maximum of 2,000 characters*);
 - 1. What strategies will be used to meet the automobile use and fuel consumption objective?
 - 2. What indicator(s) will be used to measure the outcomes?
 - 3. How will the Proposal be consistent with the California Transportation Plan (*see Appendix O*).
- I. Improve infrastructure systems (*maximum of 2,000 characters*);
 - 1. What strategies will be used to meet the infrastructure systems objective?
 - 2. What indicator(s) will be used to measure the outcomes?
- J. Promote water conservation (*maximum of 2,000 characters*);
 - 1. What strategies will be used to meet the water conservation objective?
 - 2. What indicator(s) will be used to measure the outcomes?
 - 3. How will this Proposal help the State achieve its goal to reduce water consumption 20 percent by 2020?
 - 4. Explain how this Proposal is consistent with the Integrated Regional Water Management Plans (*see Appendix O*).
- K. Promote energy efficiency and conservation (*maximum of 2,000 characters*); and
 - 1. What strategies will be used to meet the energy efficiency and conservation objective?
 - 2. What indicator(s) will be used to measure the outcomes?
- L. Strengthen the economy (*maximum of 2,000 characters*).
 - 1. What strategies will be used to meet the economy objective?
 - 2. What indicator(s) will be used to measure the outcomes?

Step 3: Priority Considerations Please describe how the proposed project addresses the following priority considerations:

1 Proposed project demonstrates ongoing collaboration with state, regional and local, public and private stakeholders and community involvement (*include in work plan*).

a. Describe tasks undertaken by all entities involved in the work plan. (*maximum of 2,000 characters*)

b. Describe how other entities will be engaged in the development and/or implementation of the proposed project (e.g., local governments, state entities, designated Catalyst Projects, COGs, MPOs, transit agencies, health agencies, air districts, local businesses, landowners, general public, environmental groups, low income households and/or groups that represent them, etc). (*maximum of 2,000 characters*)

c. Describe how the community will be engaged in the planning process. (*maximum of 2,000 characters*)

2 Proposed project addresses climate change impacts.

a. Identify the potential climate change impacts on the population, or human or natural areas, or systems most vulnerable to those impacts within the planning area. (*maximum of 2,000 characters*)

b. How will your agency promote and share the proposed project information, tools or processes? (*maximum of 2,000 characters*)

3 Proposed project demonstrates strategies or outcomes that can serve as best practices for communities across the state. *Note: projects funded by the grant will be posted on the [Strategic Growth Council website](#).*

a. Does the proposed project include tools or processes that could easily be accessed and used by other government agencies to develop plans or strategies for sustainable communities? (*maximum of 2,000 characters*)

b. Identify potential future funding sources and the amount expected to be committed to the proposed project. Detail whether funds are in the form of cash contributions, in-kind services, volunteer effort, donated labor or materials, technical expertise, etc. (*maximum of 2,000 characters*)

4 Proposed project is leveraged with additional resources, in-kind or funds. Identify in budget. ([sample in Appendix D](#)).

a. Identify funding sources and amount already committed to the proposed project and expected timing of funds. Detail whether funds are in the form of cash contributions, in-kind services, volunteer effort, donated labor or materials, technical expertise, etc. (*maximum of 2,000*

	<i>characters)</i>
	b. How will the proposed project improve adaptation to the impacts for these populations, human or natural areas, or systems? <i>(maximum of 2,000 characters)</i>
5	Proposed project serves an economically disadvantaged community. a. Describe the unique challenges faced by the economically disadvantaged community, or severely economically disadvantaged community see Glossary), that this proposed project seeks to serve. Please refer to the CA State Parks' Community Fact Finder for assistance. <i>(maximum of 2,000 characters)</i> b. How will this proposed project specifically work to address these challenges and improve the quality of life for residents in the targeted community (see Glossary)? <i>(maximum of 2,000 characters)</i>
	c. Discuss how the economically disadvantaged community has been and will continue to be engaged and participatory in the development of the proposed project. <i>(maximum of 2,000 characters)</i>
	d. Describe the indicators that will be used to measure the benefits to the EDC. <i>(maximum of 2,000 characters)</i>

Step 4: Organizational Capacity Address the following points:

1	What is your organization's experience in completing this type of project or similar projects? Is the expertise needed for the successful development of the proposed project available within the organization? If not, how do you plan to acquire it? (Describe the administrative capacity of your organization. How will your organization follow up on the proposed project completion?) <i>(maximum of 2,000 characters)</i>
2	Do you have active partners that will help implement the proposed project? Who? How will they help? <i>(maximum of 2,000 characters)</i>
3	How will the proposed project be kept on schedule and within budget? What administrative and fiscal controls will be used to keep the proposed project on schedule and within budget? <i>(maximum of 2,000 characters)</i>
4	If the Proposal goes over budget, explain your contingency plan to cover these additional costs. <i>(maximum of 2,000 characters)</i>
5	Explain how the proposed project will be implemented, including zoning updates if applicable. <i>(maximum of 2,000 characters)</i>

APPENDIX D – SAMPLE INITIAL BUDGET PROPOSAL

Detailed budget will be established during contract negotiations if Proposal is selected.
All cost elements included should be clearly described in the Budget and numerically linked in the Work Plan.
Budget Proposal must be legible.

For a detailed sample, see: http://sgc.ca.gov/planning_application_forms.html

APPENDIX E – SAMPLE INITIAL WORK PLAN

As required by SB 732, a detailed Work Plan will be established during contract negotiations if Proposal is selected for award.

For a sample initial work plan template, see: http://sgc.ca.gov/planning_application_forms.html

APPENDIX F – SAMPLE INDICATORS AND OUTCOMES

For a detailed sample, see: http://sgc.ca.gov/planning_application_forms.html

APPENDIX G – REQUIRED RESOLUTION LANGUAGE

(Text available at: http://sgc.ca.gov/planning_application_forms.html)

Name of Proposal: _____ **(Project Title)**

WHEREAS, the Legislature and Governor of the State of California have provided funds for the Sustainable Communities Planning Grant and Incentive Program under the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Proposition 84); and

WHEREAS, the Strategic Growth Council has been delegated the responsibility for the administration of this grant program, establishing necessary procedures; and

WHEREAS, said procedures require all award recipients commit to threshold requirements;

WHEREAS, said procedures established by the Strategic Growth Council require a resolution certifying the approval of application(s) by the Applicant's governing board before submission of said application(s) to the State; and

WHEREAS, the applicant, if selected, will enter into an agreement with the State of California to carry out the development of the proposal:

NOW, THEREFORE, BE IT RESOLVED that the _____ (Governing Body)

1. Approves the filing of an application for the _____ (name of the proposal) in order to become a more sustainable community; and
2. Certifies that applicant will have sufficient funds to develop the Proposal or will secure the resources to do so; and
3. Certifies that the Proposal will comply with any applicable laws and regulations including being consistent with the State's Planning Priorities identified in Government Code section 65041.1 and summarized below:
 - a. Promote infill development and invest in existing communities
 - b. Protect, preserve and enhance agricultural land and natural resources; and
 - c. Encourage location and resource efficient new development; and
4. Certifies that threshold requirements outlined in the guidelines, including consideration of Ocean Protection Council Sea Level Rise Guidance will be met; and
5. Agrees to reduce, on as permanent a basis as feasible, greenhouse gas emissions consistent with California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 3850) of the Health and Safety Code); any applicable regional plan; and
6. Agrees to meet the Collaboration Requirements of the focus area applicable to the Proposal; and includes all required documents in the application package; and

7. Appoints the _____ (*designate **position**, not person occupying position*), or designee, as agent to conduct all negotiations, execute and submit all documents including, but not limited to applications, agreements, payment requests and so on, which may be necessary for the completion of the aforementioned project(s).

APPENDIX H – PROPOSITION 84

(Chapter 9, Sustainable Communities and Climate Change Reduction)

CALIFORNIA CODES

PUBLIC RESOURCES CODE

SECTION 75065-75066

75065.

The sum of five hundred eighty million dollars (\$580,000,000) shall be available for improving the sustainability and livability of California's communities through investment in natural resources. The purposes of this chapter include reducing urban communities' contribution to global warming and increasing their adaptability to climate change while improving the quality of life in those communities. Funds shall be available in accordance with the following schedule:

(a) The sum of ninety million dollars (\$90,000,000) shall be available for urban greening projects that reduce energy consumption, conserve water, improve air and water quality, and provide other community benefits. Priority shall be given to projects that provide multiple benefits, use existing public lands, serve communities with the greatest need, and facilitate joint use of public resources and investments including schools. Implementing legislation shall provide for planning grants for urban greening programs. Not less than

\$20,000,000 shall be available for urban forestry projects pursuant to the California Urban Forestry Act, Chapter 2 (commencing with Section 4799.06) of Part 2.5 of Division 1.

(b) The sum of four hundred million dollars (\$400,000,000) shall be available to the Department of Parks and Recreation for competitive grants for local and regional parks. Funds provided in this subdivision may be allocated to existing programs or pursuant to legislation enacted to implement this subdivision, subject to the following considerations:

(1) Acquisition and development of new parks and expansion of overused parks that provide park and recreational access to underserved communities shall be given preference.

(2) Creation of parks in neighborhoods where none currently exist shall be given preference.

(3) Outreach and technical assistance shall be provided to underserved communities to encourage full participation in the program or programs.

(4) Preference shall be given to applicants that actively involve community based groups in the selection and planning of projects.

(5) Projects will be designed to provide efficient use of water and other natural resources.

(c) The sum of ninety million dollars (\$90,000,000) shall be available for planning grants and planning incentives, including revolving loan programs and other methods to encourage the development of regional and local land use plans that are designed to promote water conservation, reduce automobile use and fuel consumption, encourage greater infill and compact development, protect natural resources and agricultural lands, and revitalize urban and community centers.

75066.

Appropriation of the funds provided in subdivisions (a) and (c) of Section 75065 may only be made upon enactment of legislation to implement that subdivision.

APPENDIX I – SB 732
**(The Safe Drinking Water, Water Quality and Supply, Flood Control,
River and Coastal Protection Act of 2006)**

BILL NUMBER: SB 732 CHAPTERED
BILL TEXT

CHAPTER 729
FILED WITH SECRETARY OF STATE SEPTEMBER 30, 2008
APPROVED BY GOVERNOR SEPTEMBER 30, 2008
PASSED THE SENATE AUGUST 31, 2008
PASSED THE ASSEMBLY AUGUST 28, 2008
AMENDED IN ASSEMBLY AUGUST 22, 2008
AMENDED IN ASSEMBLY AUGUST 18, 2008
AMENDED IN ASSEMBLY SEPTEMBER 7, 2007
AMENDED IN ASSEMBLY AUGUST 31, 2007
AMENDED IN ASSEMBLY JUNE 28, 2007
AMENDED IN ASSEMBLY JUNE 27, 2007
AMENDED IN SENATE JUNE 4, 2007
AMENDED IN SENATE MAY 25, 2007
AMENDED IN SENATE MAY 15, 2007
AMENDED IN SENATE APRIL 17, 2007

INTRODUCED BY Senator Steinberg
(Principal coauthor: Senator Negrete McLeod)
(Coauthor: Senator Wiggins)

FEBRUARY 23, 2007

An act to amend Sections 75076 and 75077 of, and to add Chapter 12 (commencing with Section 75100) and Chapter 13 (commencing with Section 75120) to Division 43 of, the Public Resources Code, relating to the environment, and making an appropriation therefore.

LEGISLATIVE COUNSEL'S DIGEST

SB 732, Steinberg. Environment.
The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006, an initiative statute approved by the voters at the November 7, 2006, statewide general election makes about \$5.4 billion in bond funds available for safe drinking water, water quality and supply, flood control, natural resource protection, and park improvements. This bill would require the State Department of Public Health, the Department of Fish

and Game, and the San Francisco Bay Area Conservancy, when implementing the provisions of the initiative, among other things, to develop and adopt guidelines and regulations, consult with other entities, conduct studies, and follow certain procedures for establishing a project, grant, loan, or other financial assistance program implementing the initiative.

The bill would establish the Strategic Growth Council and would appropriate \$500,000 from the funding provided by the initiative to the Resources Agency to support the council and its activities. The bill would require the council to take certain actions with regard to coordinating programs of member state agencies to improve air and water quality, improve natural resource protection, increase the availability of affordable housing, improve transportation, meet the goals of the California Global Warming Solutions Act of 2006, encourage sustainable land use planning, and revitalize urban and community centers in a sustainable manner. The council would be required to manage and award grants and loans to support the planning and development of sustainable communities, for preparing, adopting, and implementing general plans, general plan elements, regional plans, or other planning instruments, and for preparing, planning, and implementing urban greening plans. The council would also be required to, not later than July 1, 2010, and every year thereafter, provide a report to the Legislature with specified information regarding the management of the grants and loans. The bill would provide that in any case in which the provisions of the bill and the initiative conflict, the initiative shall prevail.

Appropriation: yes.

THE PEOPLE OF THE STATE OF CALIFORNIA DO ENACT AS FOLLOWS:

SECTION 1. Section 75076 of the Public Resources Code is amended to read:
75076. Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code does not apply to the development and adoption of program guidelines and selection criteria adopted pursuant to this division.
SEC. 2. Section 75077 of the Public Resources Code is amended to read:
75077. Funds provided pursuant to this division, and any appropriation or transfer of those funds, shall not be deemed to be a transfer of funds for the purposes of Chapter 9 (commencing with Section 2780) of Division 3 of the Fish and Game Code.
SEC. 3. Chapter 12 (commencing with Section 75100) is added to Division 43 of the Public Resources Code, to read: CHAPTER 12. IMPLEMENTATION PROVISIONS

75100. (a) (1) Each state agency disbursing a competitive grant pursuant to this division shall develop project solicitation and evaluation guidelines. The guidelines may include a limitation on the size of a competitive grant to be awarded. (2) Prior to disbursing a competitive grant, each state agency shall conduct at least one public meeting to consider public comments prior to finalizing the guidelines. Each state agency shall publish the draft solicitation and evaluation guidelines on its Internet Web site at least 30 days before the public meetings. Meetings shall be held at geographically appropriate locations. Upon adoption, each state agency shall transmit

copies of the guidelines to the fiscal committees and the appropriate policy committees of the Legislature. To the extent feasible, each state agency shall provide outreach to disadvantaged communities to promote access and participation in those meetings. (3) The guidelines may include a requirement for the applicant to illustrate an ongoing commitment of financial resources, unless the purposes of awarding a grant financed by this division is to assist a disadvantaged community.

(4) The guidelines shall require a new grant solicitation for each funding cycle. Each funding cycle shall consider only those applications received as a part of the solicitation for that funding cycle.

(b) Notwithstanding subdivision (a), a state agency, in lieu of adopting guidelines pursuant to subdivision (a), may use guidelines existing on January 1, 2007, and those guidelines as periodically amended thereafter.

75101. (a) For the purposes of implementing Section 75025, the State Department of Public Health shall do all of the following:

(1) Develop guidelines pursuant to Section 75100 in collaboration with the Department of Toxic Substances Control and the state board.

(2) In collaboration with the Department of Toxic Substances Control and the state board, develop and adopt regulations governing the repayment of costs that are subsequently recovered from parties responsible for the contamination.

(b) For the purposes of implementing subdivision (a) of Section 75050, the Department of Fish and Game, when funding a natural community conservation plan, shall fund only the development of a natural community conservation plan that is consistent with the Natural Community Conservation Planning Act (Chapter 10 (commencing with Section 2800) of Division 3 of the Fish and Game Code).

(c) The San Francisco Bay Area Conservancy may use the funds made available pursuant to subdivision (c) of Section 75060 to restore the salt ponds in the south San Francisco Bay and to create trails and visitor facilities for public use in that area.

75102. Before the adoption of a negative declaration or environmental impact report required under Section 75070, the lead agency shall notify the proposed action to a California Native American tribe, which is on the contact list maintained by the Native American Heritage Commission, if that tribe has traditional lands located within the area of the proposed project.

75103. It is the intent of the Legislature that any public funds made available by this division to investor-owned utilities regulated by the Public Utilities Commission should be for the benefit of the ratepayers or the public and not the investors pursuant to oversight by the Public Utilities Commission.

75104. State agencies that are authorized to award a loan or grant financed by this division shall provide technical assistance with regard to the preparation of an application for a loan or grant in a manner that, among other things, addresses the needs of economically disadvantaged communities.

SEC. 4. Chapter 13 (commencing with Section 75120) is added to Division 43 of the Public Resources Code, to read:

CHAPTER 13. STRATEGIC GROWTH COUNCIL AND CLIMATE CHANGE REDUCTION

75120. For purposes of this chapter, the following definitions shall apply:

(a) "Council" means the Strategic Growth Council established pursuant to Section 75121.

(b) "Regional plan" means either of the following:

(1) A long-range transportation plan developed pursuant to Section 134(g) of Title 23 of the United States Code and any applicable state requirements.

(2) A regional blueprint plan, which is a regional plan that implements statutory requirements intended to foster comprehensive planning as defined in Section 65041.1 of, Chapter 2.5 (commencing with Section 65080) of Division 1 of Title 7 of, and Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of, the Government Code. A regional blueprint plan articulates regional consensus and performance outcomes on a more efficient land use pattern that supports improved mobility and reduces dependency on single-occupancy vehicle trips; accommodates an adequate supply of housing for all income levels; reduces impacts on valuable farmland, natural resources, and air quality, including the reduction of greenhouse gas emissions, increases water and energy conservation and efficiency; and promotes a prosperous economy and safe, healthy, sustainable, and vibrant neighborhoods.

75121. (a) The Strategic Growth Council is hereby established in state government and it shall consist of the Director of State Planning and Research, the Secretary of the Resources Agency, the Secretary for Environmental Protection, the Secretary of Business, Transportation and Housing, the Secretary of California Health and Human Services, and one member of the public to be appointed by the Governor. The public member shall have a background in land use planning, local government, resource protection and management, or community development or revitalization.

(b) Staff for the council shall be reflective of the council's membership.

75122. The members of the council shall elect a chair of the council every two years.

75123. (a) The council's meetings shall be open to the public and shall be subject to the Bagley-Keene Open Meeting Act (Article 9 (commencing with Section 11120) of Chapter 1 of Part 1 of Division 3 of Title 2 of the Government Code). (b) The council may sponsor conferences, symposia, and other public forums, to seek a broad range of public advice regarding local, regional, and natural resource planning, sustainable development, and strategies to reduce and mitigate climate change.

75124. Of the funds made available pursuant to subdivisions (a) and (c) of Section 75065, the sum of five hundred thousand dollars (\$500,000) is hereby appropriated to the Resources Agency to be used in support of the council and its activities in accordance with this chapter.

75125. The council shall do all of the following:

(a) Identify and review activities and funding programs of member state agencies that may be coordinated to improve air and water quality, improve natural resource protection, increase the availability of affordable housing, improve transportation, meet the goals of the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), encourage sustainable land use planning, and revitalize urban and community centers in a sustainable manner. At a minimum, the council shall review and comment on the five-year infrastructure plan developed pursuant to Article 2 (commencing with Section 13100) of Chapter 2 of Part 3 of Division 3 of the Government Code and the State Environmental Goals and Policy Report developed pursuant to Section 65041 of the Government Code.

(b) Recommend policies and investment strategies and priorities to the Governor, the Legislature, and to appropriate state agencies to encourage the development of sustainable communities, such as those communities that promote equity, strengthen the economy, protect the environment, and promote public health and safety, and is consistent with subdivisions (a) and (c) of Section 75065.

(c) Provide, fund, and distribute data and information to local governments and regional agencies that will assist in developing and planning sustainable communities.

(d) Manage and award grants and loans to support the planning and development of sustainable communities, pursuant to Sections 75127, 75128, and 75129. To implement this subdivision, the council may do all of the following:

(1) Develop guidelines for awarding financial assistance, including criteria for eligibility and additional consideration.

(2) Develop criteria for determining the amount of financial assistance to be awarded. The council shall award a revolving loan to an applicant for a planning project, unless the council determines that the applicant lacks the fiscal capacity to carry out the project without a grant. The council may establish criteria that would allow the applicant to illustrate an ongoing commitment of financial resources to ensure the completion of the proposed plan or project.

(3) Provide for payments of interest on loans made pursuant to this article. The rate of interest shall not exceed the rate earned by the Pooled Money Investment Board.

(4) Provide for the time period for repaying a loan made pursuant to this article.

(5) Provide for the recovery of funds from an applicant that fails to complete the project for which financial assistance was awarded. The council shall direct the State Controller to recover funds by any available means.

(6) Provide technical assistance for application preparation.

(7) Designate a state agency or department to administer technical and financial assistance programs for the disbursing of grants and loans to support the planning and development of sustainable communities, pursuant to Sections 75127, 75128, and 75129.

(e) No later than July 1, 2010, and every year thereafter, provide a report to the Legislature that shall include, but is not limited to, all of the following:

(1) A list of applicants for financial assistance.

(2) Identification of which applications were approved.

(3) The amounts awarded for each approved application.

(4) The remaining balance of available funds.

(5) A report on the proposed or ongoing management of each funded project.

(6) Any additional minimum requirements and priorities for a project or plan proposed in a grant or loan application developed and adopted by the council pursuant to subdivision (c) of Section 75216.

75126. (a) An applicant shall declare, in the application submitted to the council for financial assistance for a plan or project pursuant to this chapter, the applicant's intention to follow a detailed budget and schedule for the completion of the plan or project. The budget and schedule shall be of sufficient detail to allow the council to assess the progress of the applicant at regular intervals.

(b) A project or plan funded pursuant to this chapter shall meet both of the following criteria:

(1) Be consistent with the state's planning policies pursuant to Section 65041.1 of the Government Code.

(2) Reduce, on as permanent a basis that is feasible, greenhouse gas emissions consistent with the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), and any applicable regional plan.

(c) The council may develop additional minimum requirements and priorities for a project or plan proposed in a grant and loan application, including those related to improving air quality.

75127. (a) To support the planning and development of sustainable communities, the council shall manage and award financial assistance to a city or county for preparing, adopting, and implementing a general plan or general plan element that is designed to reduce greenhouse gas emissions, promote water conservation, reduce automobile use and fuel consumption, encourage greater infill and compact development, protect natural resources and agricultural lands, and revitalize urban and community centers.

(b) For the purposes of this section, the preparation and adoption of a general plan may include a comprehensive update of a general plan, amendment or adoption of an individual element of a general plan, or any other revision consistent with the intent of Section 75065.

(c) For the purposes of this section, the implementation of a general plan may include amendment or adoption of a specific plan, community plan, zoning ordinance, or any other plan, ordinance, or policy that is consistent with the intent of Section 75065.

(d) The funding provided pursuant to this section for the preparation, adoption, and implementation of a general plan may also include funding any activity necessary to conform a general plan to a regional plan.

75128. (a) To support the planning and development of sustainable communities, the council shall manage and award financial assistance to a council of governments, metropolitan planning organization, regional transportation planning agency, city, county, or joint powers authority, to develop, adopt or implement a regional plan or other planning instrument consistent with a regional plan that improves air and water quality, improves natural resource protection, increases the availability of affordable housing, improves transportation, meets the goals of the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), and encourages sustainable land use. The financial assistance

provided pursuant to this section shall be funded from moneys made available pursuant to subdivision (c) of Section 75065.

(b) In awarding financial assistance pursuant to this section, the council shall give first priority to an application seeking funding to add or enhance elements of a regional plan that are not funded with federal moneys.

75129. (a) To support the planning and development of sustainable communities, the council shall manage and award financial assistance to a city, county, or nonprofit organization for the preparation, planning, and implementation of an urban greening project that provides multiple benefits, including, but not limited to, a decrease in air and water pollution, a reduction in the consumption of natural resources and energy, an increase in the reliability of local water supplies, or an increased adaptability to climate change. An eligible project funded pursuant to this section shall not include a mitigation action that is required under existing law. The financial assistance provided pursuant to this section shall be funded from moneys made available pursuant to subdivision (a) of Section 75065.

(b) The council shall develop minimum requirements for funding eligible projects pursuant to this section, which shall require a project to meet at least one of the following criteria:

(1) Use natural systems, or systems that mimic natural systems, to achieve the benefits identified in subdivision (a).

(2) Create, enhance, or expand community green spaces.

(c) The multiple benefits of a project, may include, but are not limited to, the establishment or enhancement of one or more of the following:

(1) Tree canopy.

(2) Urban forestry.

(3) Local parks and open space.

(4) Greening of existing public lands and structures, including schools.

(5) Multi-objective stormwater projects, including construction of permeable surfaces and collection basins and barriers.

(6) Urban streams, including restoration.

(7) Community, demonstration, or outdoor education gardens and orchards.

(8) Urban heat island mitigation and energy conservation efforts through landscaping and green roof projects.

(9) Nonmotorized urban trails that provide safe routes for both recreation and travel between residences, workplaces, commercial centers, and schools.

(d) The council shall give additional consideration to a funding project pursuant to this section that meets one or more of the following criteria:

(1) The project uses interagency cooperation and integration.

(2) The project uses existing public lands and facilitates use of public resources and investments including schools.

(3) The project is proposed by an economically disadvantaged community.

(e) Up to 25 percent of the moneys allocated pursuant to subdivision (a) of Section 75065 may be used to award revolving loans or grants to a council of governments, countywide authority, a metropolitan planning organization, local government, or nonprofit organization, for the purpose of creating urban greening plans that will serve as the master document guiding and coordinating greening projects in the applicant's jurisdiction. These urban greening plans shall be consistent with the jurisdiction's general plan or regional plan, where one exists.

75130. This chapter does not authorize the council to take an action with regard to the exercise of a local government's land use permitting authority.

SEC. 5. In any case in which any of the provisions of this act, and Division 43 (commencing with Section 75001) of the Public Resources Code conflict, that division shall prevail.

SEC. 6. The provisions of this act are severable. If any provision of this act or its application is held invalid, that invalidity shall not affect other provisions or applications that can be given effect without the invalid provision or application.

APPENDIX J – AB 32 GOALS FOR LOCAL GOVERNMENT (Chapter 488, Statutes of 2006)

Local and regional planning agencies can help California meet the goals of AB 32 in a number of ways, including but not limited to, reducing GHG emissions from regional and local government municipal operations, and implementing changes to land use patterns and transportation infrastructure to reduce vehicle travel (see Scoping Plan, pp. 26-27). More specifically, local and regional governments can reduce greenhouse gas emissions through actions in the following areas: (1) municipal and community energy use, (2) municipal and community waste reduction and recycling operations, (3) community-wide water conservation and water reclamation, (4) urban greening and forests, (5) improvements to community transportation systems that encourage low carbon transportation options, and (6) community land use policies that encourage energy efficiency and reduce vehicle travel (see Scoping Plan Appendix C, pp. C-52 and 53). A local government or regional planning agency may chose to employ a variety of approaches, individually or in combination, to reduce GHG emissions from a particular sector of the community or from the community as a whole. The following table provides examples of types of actions that local governments may take to reduce greenhouse gas emissions.

LOCAL GOVERNMENT INITIATIVES	
Measure	Local Government (LG) Actions
Local Government Actions	<ul style="list-style-type: none"> Set local GHG reduction goals by partnering with special districts that provide services within jurisdictions Develop Climate Action Plan, or other comprehensive approach to reduce GHG emissions Adopt Best Practices
Energy Efficiency	<ul style="list-style-type: none"> Increase Utility Energy Efficiency Programs (either as municipal owners or partnership with local utilities) – New targets would be set for statewide energy demand reductions. These reductions could be achieved through enhancements to existing programs such as increased incentives. Reduce energy consumption and install solar water heating systems within LG owned/operated facilities and operations
Renewable Portfolio Standard	<ul style="list-style-type: none"> Achieve 33% renewable portfolio standard for LG owned utility (i.e., 33% of energy generation must come from renewable energy sources)
Green Buildings	<ul style="list-style-type: none"> Facilitate green building construction, renovation, operation and maintenance of green buildings at LG owned/operated facilities Implement the State-adopted green building code and provide training to local architects, engineers and developers Site buildings close to public transportation and services, and providing amenities that encourage walking and cycling, offering further GHG reducing potential
Recycling and Waste	<ul style="list-style-type: none"> Adopt Zero Waste and Environmentally Preferable Purchasing policies Increase diversion from landfills (commercial recycling and compost/purchase of compost) Control landfill methane emissions (for jurisdictions that own/operate landfill)
High GWP Gases	<ul style="list-style-type: none"> Minimize/eliminate motor vehicle A/C refrigerant leakage through proper maintenance in fleet vehicles Ensure proper handling/disposal of waste refrigeration units (through solid waste hauler)
Sustainable Forests	<ul style="list-style-type: none"> Encourage land-use decisions that conserve forest lands
Water	<ul style="list-style-type: none"> Improve municipal water system energy efficiency/usage Increase water recycling Reuse urban runoff
Land-Use/Planning	<ul style="list-style-type: none"> Participate in regional planning efforts and ensure land-use/transportation plans and decisions conform with sustainable communities strategies (SB 375) Incorporate GHG reduction measures in General Plan, including funding and promotion of local transit systems, bike/walk infrastructure, local parking policies, car sharing, etc.

APPENDIX K – SEA LEVEL RISE GUIDANCE

On March 11, 2011, the California Ocean Protection Council adopted a Sea-Level Rise Resolution that provides recommendations on use of the Sea-Level Rise Interim Guidance Document and established a process to regularly revise the guidance document based on current scientific understanding and projections of sea-level rise. The following resources are provided in order to assist applicants in incorporating Sea-Level Rise guidance, as appropriate, into planning grant applications.

Resolution of the California Ocean Protection Council on Sea-Level Rise

This document provides the full text of the resolution adopted by the Ocean Protection Council on March 11, 2011:

http://opc.ca.gov/webmaster/ftp/pdf/agenda_items/20110311/12.SLR_Resolution/20110311OPC-SLR-Resolution.pdf

State of California Sea-Level Rise Interim Guidance Document

This document provides guidance for incorporating sea-level rise (SLR) projections into planning and decision making for projects in California:

http://opc.ca.gov/webmaster/ftp/pdf/agenda_items/20110311/12.SLR_Resolution/SLR-Guidance-Documents.pdf

Cal-Adapt: Sea Level Rise Threatened Areas Map

Cal-Adapt provides an online map tool that displays areas projected to be inundated by a 100-year flood event under various sea level rise scenarios:

<http://cal-adapt.org/sealevel/>

Pacific Institute Resources and Maps

The Pacific Institute website provides information resources and maps indicating populations and critical infrastructure at risk with regard to Sea-Level Rise:

http://www.pacinst.org/reports/sea_level_rise/maps/index.htm

APPENDIX L – STATE PLANNING PRIORITIES

(Government Code 65041.1)

65041.1. The state planning priorities, which are intended to promote equity, strengthen the economy, protect the environment, and promote public health and safety in the state, including in urban, suburban, and rural communities, shall be as follows:

(a) To promote infill development and equity by rehabilitating, maintaining, and improving existing infrastructure that supports infill development and appropriate reuse and redevelopment of previously developed, underutilized land that is presently served by transit, streets, water, sewer, and other essential services, particularly in underserved areas, and to preserving cultural and historic resources.

(b) To protect environmental and agricultural resources by protecting, preserving, and enhancing the state's most valuable natural resources, including working landscapes such as farm, range, and forest lands, natural lands such as wetlands, watersheds, wildlife habitats, and other wildlands, recreation lands such as parks, trails, greenbelts, and other open space, and landscapes with locally unique features and areas identified by the state as deserving special protection.

(c) To encourage efficient development patterns by ensuring that any infrastructure associated with development, other than infill development, supports new development that does all of the following:

- (1) Uses land efficiently.
- (2) Is built adjacent to existing developed areas to the extent consistent with the priorities specified pursuant to subdivision (b).
- (3) Is located in an area appropriately planned for growth.
- (4) Is served by adequate transportation and other essential utilities and services.
- (5) Minimizes ongoing costs to taxpayers.

APPENDIX M – HEALTHY COMMUNITIES

Promoting public health is one of the objectives of the Strategic Growth Council. To further understand what characterizes a Healthy Community, the Department of Public Health provides the following definition:

A healthy community is one that meets the basic needs of all residents, ensures quality and sustainability of the environment, provides for adequate levels of economic and social development; and assures social relationships that are supportive and respectful. A healthy community strives for the following through all stages of life:

Meets basic needs of all:

- Safe, sustainable, accessible and affordable transportation options
- Accessible and nutritious healthy foods
- Affordable, high quality, socially integrated and location-efficient housing
- Complete and livable communities including affordable and high quality schools, parks and recreational facilities, child care, libraries, financial services, health care and other daily needs

Quality, sustainability of the environment:

- Clean air, soil and water, and environments free of excessive noise
- Preserved natural and open spaces, including agricultural lands
- Minimized waste, toxics, and greenhouse gas emissions
- Affordable and sustainable energy use

Adequate levels of economic, social development:

- Living wage, safe and healthy job opportunities for all
- Support for healthy development of children and adolescents
- Opportunities for high quality and accessible education
- Health and social equity

Social relationships supportive, respectful:

- Robust social and civic engagement
- Socially cohesive and supportive relationships, families, homes, and neighborhoods
- Safe communities, free of crime and violence

APPENDIX N – REGIONAL OR STATE ADOPTED PLANS

The Council wants to promote coordination between local, regional and state efforts. In order to achieve this, a Proposal must indicate consistency with, or exceed the minimums of, a regional plan or State adopted plan, as applicable to its region and to the Proposal.

California Transportation Plan (Department of Transportation)

The California Transportation Plan (CTP) is a statewide, long-range transportation plan for meeting California's future mobility needs. The CTP defines goals, policies, and strategies to achieve a vision for California's future transportation system. This plan, with a minimum 20-year planning horizon, is prepared in response to federal and State requirements and is updated every five years. CTP 2025 was approved in 2006 and updated by an addendum in October 2007 to comply with new federal planning requirements governing development of the plan. The next CTP, which will have a 2040 horizon, will be enhanced with the addition of a California Interregional Blueprint (CIB). This new element will respond to the legislative requirements of SB 391 that require the CTP to articulate the State's vision for an integrated multimodal interregional transportation system that meets AB 32 goals and complements regional transportation plans and land use visions. <http://www.dot.ca.gov/hq/tpp/offices/osp/ctp.html>

California Wildlife Action Plan (Department of Fish and Game)

California Wildlife: Conservation Challenges, the State's wildlife action plan, was developed and produced as collaboration between the California Department of Fish and Game (DFG) and the Wildlife Health Center at the University of California, Davis. This report identifies species of habitats of greatest conservation need, the major stressors affecting native wildlife and habitats, and statewide and region-specific actions needed to restore and conserve California's wildlife. <http://www.dfg.ca.gov/wildlife/WAP/>

Natural Community Conservation Plan: NCCP (Department of Fish and Game)

The primary objective of the NCCP program is to conserve natural communities at the ecosystem scale while accommodating compatible land use. The program seeks to anticipate and prevent the controversies and gridlock caused by species' listings by focusing on the long-term stability of wildlife and plant communities and including key interests in the process. Go to the following link to ensure that the Proposal is consistent with any NCCP approved within your geographic jurisdiction. <http://www.dfg.ca.gov/habcon/nccp/status.html>

State Implementation Plan: SIPs (Air Resource Board)

SIPs are comprehensive plans that describe how an area will attain national ambient air quality standards (NAAQS). The 1990 amendments to the federal Clean Air Act set deadlines for attainment based on the severity of an area's air pollution problem. SIPs are not single documents. They are a compilation of new and previously submitted plans, programs (such as monitoring, modeling, permitting, etc.), district rules, state regulations and federal controls. Many of California's SIPs rely on the same core set of control strategies, including emission standards for cars and heavy trucks, fuel regulations and limits on emissions from consumer products. <http://www.arb.ca.gov/planning/sip/sip.htm>

The Surface Mining and Reclamation Act (Department of Conservation)

The Surface Mining and Reclamation Act (SMARA) encourages the production, conservation, and protection of the state's mineral resources. To address mineral resource conservation, SMARA mandated

the classification-designation process. The objective of this process is to ensure, through appropriate local lead agency policies and procedures, that mineral materials will be available when needed and do not become inaccessible due to land-use decisions. Go to the following link and review maps to ensure that plans are consistent with any designated areas or areas of future designation. <http://www.doc.ca.gov>

Integrated Regional Water Management Plan

The Integrated Regional Water Management (IRWM) Plan is a comprehensive plan for a defined geographic area, with specific development, content, and adoption that complies with the California Water Code. An IRWM Plan is based on hydrologic boundaries rather than political boundaries, and describes the major water-related objectives and conflicts within a region, considers a broad variety of water management strategies, identifies the appropriate mix of water demand and supply management alternatives, water quality protections, and environmental stewardship actions to provide long-term high-quality water supply. An IRWM Plan is governed by the designated parties that form a Regional Water Management Group which must be comprised of three or more local agencies, at least two of which have statutory authority over water supply or management (as required by CWC Sec 10539), as well as other persons necessary for the development and implementation of an IRWM Plan. Disadvantaged communities and tribes are included as Stakeholders of the IRWM Plan and their water-related needs are taken into consideration and incorporated into the IRWM planning process. (California Water Code Sections 10534 and 10540-10543). <http://www.rmc.ca.gov/plans/water.html>

State Water Plan

The California Water Plan provides a framework for water managers, legislators, and the public to consider options and make decisions regarding California's water future. The Plan, which is updated every five years, presents basic data and information on California's water resources including water supply evaluations and assessments of agricultural, urban, and environmental water uses to quantify the gap between water supplies and uses. <http://www.waterplan.water.ca.gov/>

Regional Housing Needs Assessment (RHNA)

State housing element law (Government Code Section 65583 et. al.) requires the California Department of Housing and Community Development (HCD) to allocate the region's share of the statewide housing need to Councils of Governments (COG) based on Department of Finance population projections and regional population forecasts used in preparing regional transportation plans. The COG is required to develop a Regional Housing Need Plan (RHNP) allocating the region's share of the statewide need to cities and counties within the region. The RHNP should promote the following objectives:

1. Increase the housing supply and the mix of housing types, tenure, and affordability in all cities and counties within the region in an equitable manner;
2. Promote infill development and socioeconomic equity, the protection of environmental and agricultural resources, and the encouragement of efficient development patterns; and
3. Promote an improved intraregional relationship between jobs and housing.

http://www.hcd.ca.gov/hpd/housing_element2/HN_PHN_regional.php

APPENDIX O – ONLINE RESOURCES

For the following on-line additional resources, visit:

Air Resources Board Cool California: Local government assistance website

<http://www.coolcalifornia.org/article/climate-action-planning>

California Global Warming Solutions Act of 2006

http://www.leginfo.ca.gov/pub/05-06/bill/asm/ab_0001-0050/ab_32_bill_20060927_chaptered.pdf

Climate Adaptation Strategy

<http://www.climatechange.ca.gov/adaptation/index.html>

Proposition 84 (Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006)

http://www.parks.ca.gov/pages/1008/files/prop_84_text.pdf

SB 732 - Strategic Growth Council Statute

http://www.leginfo.ca.gov/pub/07-08/bill/sen/sb_0701-0750/sb_732_bill_20080930_chaptered.pdf

State's Planning Priorities (Government Code 65041.1)

<http://leginfo.ca.gov/cgi-bin/displaycode?section=gov&group=65001-66000&file=65041-65049>

Strategic Growth Council

<http://www.sgc.ca.gov>

California Transportation Plan

<http://www.dot.ca.gov/hq/tpp/offices/osp/ctp.html>

California Water Plan

<http://www.waterplan.water.ca.gov/cwpu2009/index.cfm>

Integrated Regional Water Management Plan (IRWMP)

<http://www.waterplan.water.ca.gov/cwpu2009/index.cfm>

Air Quality Improvement Plan

http://www.interiorhealth.ca/uploadedFiles/Information/Health_Service_Planning/AirQuality_Plan2007-2010.pdf

Air Quality Management Plan

<http://www.aqmd.gov/aqmp/aqmpintro.htm>

State Implementation Plan

<http://www.epa.gov/reg5oair/sips/>

Regional Water Quality Plan

http://www.swrcb.ca.gov/rwgcb9/water_issues/programs/basin_plan/index.shtml

http://www.waterboards.ca.gov/plans_policies/#plans

State Energy Plan

http://www.energy.ca.gov/energy_action_plan/

Preferred Loading Order

<http://www.energy.ca.gov/2005publications/CEC-400-2005-043/CEC-400-2005-043.PDF>

State Parks fact finder tool

<http://www.parkinfo.org/factfinder2011/grantee.html>

Local Health Offices

<http://www.cdph.ca.gov/PROGRAMS/CCLHO/Pages/default.aspx>

Habitat Conservation Plans (HCP) - recognized by the California Department of Fish and Game

<http://www.dfg.ca.gov/>

Mineral Designations and Minerals identified by the California Department of Conservation

<http://www.conservation.ca.gov>

Conservation Easements approved by the Wildlife Conservation Board

<http://www.wcb.ca.gov/>

Conservation Easements approved by the Department of Conservation

<http://www.conservation.ca.gov/dlrp>

Planning and Natural Resource Information CERES

<http://www.ceres.ca.gov>

Natural Community Conservation Plan Map

<http://www.dfg.ca.gov/habcon/nccp/status.html>

Catalyst Projects

http://www.hcd.ca.gov/hpd/catayst_summaries082510.pdf

California Coastal Commission Local Coastal Programs for planning

<http://www.coastal.ca.gov/lcps.html>

